

# On bounded and unbounded curves in Euclidean space

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**Abstract.** We provide sufficient conditions for curves in  $\mathbb{R}^3$  to be unbounded in terms of its curvature and torsion. We present as well sufficient conditions on the curvatures for boundedness, for curves in  $\mathbb{R}^4$ .

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## 1 Introduction

This short note concerns a smooth curve  $\gamma$  in the standard three-dimensional Euclidean space  $\mathbb{R}^3$ . It is well known that the curve is uniquely defined (up to translations and rotations of  $\mathbb{R}^3$ ) by its curvature  $\kappa(s)$  and its torsion  $\tau(s)$ , the argument  $s$  is the arc-length parameter. The pair  $(\kappa(s), \tau(s))$  is called the intrinsic equation of the curve.

In the sequel we assume that  $\kappa, \tau \in C[0, +\infty)$ .

To obtain the radius-vector of the curve  $\gamma$  one must solve the system of Frenet-Serret equations:

$$(1.1) \quad \begin{cases} \mathbf{v}'(s) = \kappa(s)\mathbf{n}(s), \\ \mathbf{n}'(s) = -\kappa(s)\mathbf{v}(s) + \tau(s)\mathbf{b}(s), \\ \mathbf{b}'(s) = -\tau(s)\mathbf{n}(s). \end{cases}$$

The vectors  $\mathbf{v}(s)$ ,  $\mathbf{n}(s)$ ,  $\mathbf{b}(s)$  stand for the Frenet-Serret frame at the curve's point with parameter  $s$ . Then the radius-vector of the curve is given by  $\mathbf{r}(s) = \int_0^s \mathbf{v}(\xi)d\xi + \mathbf{r}(0)$ .

If the curve  $\gamma$  is flat (this happens iff  $\tau(s) = 0$ ) then the system (1.1) is explicitly integrated. In the three dimensional case it is difficult to integrate this system with arbitrary smooth functions  $\tau, \kappa$ .

Hence there follows the natural problem of restoring the properties of the curve  $\gamma$  having the curvature  $\kappa(s)$  and the torsion  $\tau(s)$ .

A natural question might be under which conditions for  $\kappa$  and  $\tau$ , is the curve  $\gamma$  closed - which is a difficult open problem. Another question might be: which are sufficient conditions for the whole curve to be contained in a sphere - which is much simpler. Such questions have been discussed in [4], [3], [5].

A sufficient condition for the curve to be unbounded has been obtained in [1]. In this article we determine such a condition in terms of curvature only, and the result is shown to be valid in a large class of spaces, including Hilbert spaces and Riemannian manifolds of non-positive curvature.

In general, (1.1) is a linear system of ninth order with the matrix depending on  $s$ . To describe the properties of  $\gamma$ , one must study this system.

Also, in this note we formulate and prove several sufficient conditions for the unboundedness of the curve  $\gamma$ , and also present sufficient conditions for the curve to be bounded in the four dimensional Euclidean space. It must be observed that in  $\mathbb{R}^m$  with odd  $m$ , the curves are generally unbounded, but for even  $m$ , they are bounded. We justify below this very informal remark.

## 2 The main Theorem

We shall say that  $\gamma$  is unbounded iff  $\sup_{s \geq 0} |\mathbf{r}(s)| = \infty$ .

**Theorem 2.1.** *Suppose there exists a function  $\lambda(s)$  such that the functions*

$$k(s) = \lambda(s)\kappa(s), \quad \text{and} \quad t(s) = \lambda(s)\tau(s)$$

*are monotone<sup>1</sup> and belong to  $C[0, \infty)$ . Considering the function  $T(s) = \int_0^s t(\xi)d\xi$ , assume also that the following equalities hold*

$$(2.1) \quad \lim_{s \rightarrow \infty} T(s) = \infty, \quad \lim_{s \rightarrow \infty} \frac{k(s)}{T(s)} = \lim_{s \rightarrow \infty} \frac{t(s)}{T(s)} = 0.$$

*Then the curve  $\gamma$  is unbounded.*

The proof of this theorem is contained in Section 4.1.

By putting  $\lambda = 1/\tau$  in this Theorem, we deduce the following

**Corollary 2.2.** *Assume that the function  $\kappa(s)/\tau(s)$  is monotone and*

$$(2.2) \quad \lim_{s \rightarrow \infty} \frac{\kappa(s)}{s \cdot \tau(s)} = 0.$$

*Then the curve  $\gamma$  is unbounded.*

Note that the geodesic curvature of the tantrix<sup>2</sup>  $\kappa_T(s)$  is equal to  $\tau(s)/\kappa(s)$  [3]. So that formula (2.2) can be rewritten as follows

$$\lim_{s \rightarrow \infty} \kappa_T(s)s = \infty.$$

<sup>1</sup>E.g., one of these functions,  $k(s)$  is monotonically increasing:  $s' < s'' \Rightarrow k(s') \leq k(s'')$ ,  $s', s'' \in [0, \infty)$  while the other one  $t(s)$  is monotonically decreasing:  $s' < s'' \Rightarrow t(s') \geq t(s'')$ ,  $s', s'' \in [0, \infty)$ . The inverse situation is allowed as well, or the both functions can be increasing or decreasing simultaneously.

<sup>2</sup>The tangential spherical image of the curve  $\gamma$  is the curve on the unit sphere. This curve has the radius-vector  $\mathbf{r}'(s)$ .

The Theorem 2.1 does not reduce to Corollary 2.2. To justify this, we consider the following example. Let the curve  $\gamma$  be given by

$$\kappa(s) = 1, \quad \tau(s) = \frac{1}{1+s}.$$

Since  $\tau(s) \rightarrow 0$  as  $s \rightarrow \infty$ , it may seem that this curve is a circle with  $\kappa(s) = 1$ . Still, by applying Theorem 2.1 with  $\lambda = 1$  we see that the curve  $\gamma$  is unbounded.

We consider a system which consists of (1.1) together with the equation  $\mathbf{r}'(s) = \mathbf{v}(s)$ . From the viewpoint of stability theory, Theorem 2.1 states that under certain conditions this system is unstable.

Since  $|\mathbf{r}(s)| = O(s)$  as  $s \rightarrow \infty$ , this instability is too weak to be studied by standard methods, like the Lyapunov exponents one.

### 3 Additional remarks. Bounded curves in $\mathbb{R}^4$

The technique developed above can be generalized to the curves in any multidimensional Euclidean space  $\mathbb{R}^m$ . For the case when  $m$  is odd, we can prove a result similar to Theorem 2.1. However, when  $m$  is even, our method allows to obtain sufficient conditions for the curve to be bounded. In this section we illustrate such an effect. To avoid big formulas we consider only the case  $m = 4$ .

So let a curve  $\gamma \subset \mathbb{R}^4$  be given by its curvatures

$$\kappa_i(s) \in C[0, \infty), \quad i \in \{1, 2, 3\},$$

and let  $\mathbf{v}_j(s)$ ,  $j = 1, 2, 3, 4$  be the Frenet-Serret frame. Then the Frenet-Serret equations are

$$\frac{d}{ds} \begin{pmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \\ \mathbf{v}_3 \\ \mathbf{v}_4 \end{pmatrix} (s) = A(s) \begin{pmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \\ \mathbf{v}_3 \\ \mathbf{v}_4 \end{pmatrix} (s), \quad A(s) = \begin{pmatrix} 0 & \kappa_1(s) & 0 & 0 \\ -\kappa_1(s) & 0 & \kappa_2(s) & 0 \\ 0 & -\kappa_2(s) & 0 & \kappa_3(s) \\ 0 & 0 & -\kappa_3(s) & 0 \end{pmatrix}.$$

**Theorem 3.1.** *Suppose that the function  $\kappa_1(s)\kappa_3(s)$  is nowhere vanishing, and that the functions*

$$f_1(s) = \frac{1}{\kappa_1(s)}, \quad f_2(s) = \frac{\kappa_2(s)}{\kappa_1(s)\kappa_3(s)}$$

*are monotone and*

$$\sup_{s \geq 0} |f_i(s)| < \infty, \quad i = 1, 2.$$

*Then the curve  $\gamma$  is bounded.*

The proof of this theorem is contained in Section 4.2.

## 4 Proofs

### 4.1 Proof of Theorem 2.1

Let us expand the radius-vector by the Frenet-Serret frame,

$$\mathbf{r}(s) = r_1(s)\mathbf{v}(s) + r_2(s)\mathbf{n}(s) + r_3(s)\mathbf{b}(s).$$

By differentiating this formula, we obtain

$$\begin{aligned} \mathbf{v}(s) &= r_1'(s)\mathbf{v}(s) + r_2'(s)\mathbf{n}(s) + r_3'(s)\mathbf{b}(s) \\ &+ r_1(s)\mathbf{v}'(s) + r_2(s)\mathbf{n}'(s) + r_3(s)\mathbf{b}'(s). \end{aligned}$$

Using the Frenet-Serret equations, one yields

$$(4.1) \quad r'(s) = \begin{pmatrix} 0 & \kappa(s) & 0 \\ -\kappa(s) & 0 & \tau(s) \\ 0 & -\tau(s) & 0 \end{pmatrix} r(s) + \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \quad r = \begin{pmatrix} r_1 \\ r_2 \\ r_3 \end{pmatrix}.$$

Let us multiply from left the both sides of the system (4.1) by the row-vector  $\lambda(s)(\tau(s), 0, \kappa(s))$ ; we infer:

$$t(s)r_1'(s) + k(s)r_3'(s) = t(s).$$

Further, we integrate the equation

$$(4.2) \quad \int_0^s t(a)r_1'(a)da + \int_0^s k(a)r_3'(a)da = T(s).$$

From the Second Mean Value Theorem [2], we know that there is a parameter  $\xi \in [0, s]$  such that

$$\begin{aligned} \int_0^s t(a)r_1'(a)da &= t(0) \int_0^\xi r_1'(a)da + t(s) \int_\xi^s r_1'(a)da \\ &= t(0)(r_1(\xi) - r_1(0)) + t(s)(r_1(s) - r_1(\xi)) \end{aligned}$$

By the same argument for some  $\eta \in [0, s]$  we have

$$\int_0^s k(a)r_3'(a)da = k(0)(r_3(\eta) - r_3(0)) + k(s)(r_3(s) - r_3(\eta)).$$

Thus formula (4.2) takes the form

$$(4.3) \quad \begin{aligned} &t(0)(r_1(\xi) - r_1(0)) + t(s)(r_1(s) - r_1(\xi)) \\ &+ k(0)(r_3(\eta) - r_3(0)) + k(s)(r_3(s) - r_3(\eta)) = T(s). \end{aligned}$$

Since the Frenet-Serret frame is orthonormal we have

$$|\mathbf{r}(s)|^2 = r_1^2(s) + r_2^2(s) + r_3^2(s) = |r(s)|^2.$$

If we assume that the Theorem does not hold, and that the curve  $\gamma$  is bounded, i.e.  $\sup_{s \geq 0} |\mathbf{r}(s)| < \infty$ . Then due to conditions (2.1) the left side of formula (4.3) is  $o(T(s))$  as  $s \rightarrow \infty$ . This is a contradiction and the Theorem is proved.

## 4.2 Proof of Theorem 3.1

Let  $\mathbf{r}(s)$  be a radius-vector of the curve  $\gamma$ . Then one can write

$$\mathbf{r}(s) = \sum_{i=1}^4 r_i \mathbf{v}_i(s), \quad \mathbf{r}'(s) = \mathbf{v}_1(s).$$

Similarly as in the previous section, due to the Frenet-Serret equations this gives

$$r'(s) = A(s)r(s) + \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad r = \begin{pmatrix} r_1 \\ r_2 \\ r_3 \\ r_4 \end{pmatrix}.$$

First we multiply this equation by  $r'^T(s)A^{-1}(s)$ , ( $\det A = (\kappa_1\kappa_3)^2$ ):

$$(4.4) \quad r'^T(s)A^{-1}(s)r'(s) = r'^T(s)r(s) + r'^T(s)A^{-1}(s) \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}.$$

Since  $A^{-1}$  is a skew-symmetric matrix we have  $r'^T(s)A^{-1}(s)r'(s) = 0$ , and some calculation yields

$$r'^T(s)A^{-1}(s) \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} = r'_2(s)f_1(s) + r'_4(s)f_2(s).$$

Then (4.4) takes the form

$$-\frac{1}{2}(|r(s)|^2)' = r'_2(s)f_1(s) + r'_4(s)f_2(s).$$

By integrating this formula, we obtain

$$-\frac{1}{2}(|r(s)|^2 - |r(0)|^2) = \int_0^s r'_2(a)f_1(a) + r'_4(a)f_2(a)da.$$

By the same argument which was employed to obtain formula (4.3), it follows that

$$(4.5) \quad \begin{aligned} -\frac{1}{2}(|r(s)|^2 - |r(0)|^2) = & \\ & f_1(0)(r_2(\xi) - r_2(0)) + f_1(s)(r_2(s) - r_2(\xi)) + \\ & f_2(0)(r_4(\eta) - r_4(0)) + f_2(s)(r_4(s) - r_4(\eta)), \end{aligned}$$

where  $\xi, \eta \in [0, s]$ .

To proceed with the proof assume that the curve  $\gamma$  be unbounded:  $\sup_{s \geq 0} |r(s)| = \infty$ . We consider a sequence  $s_k$  such that

$$|r(s_k)| = \max_{s \in [0, k]} |r(s)|, \quad k \in \mathbb{N}, \quad s_k \in [0, k].$$

It is easy to see that

$$s_k \rightarrow \infty, \quad |r(s)| \leq |r(s_k)|, \quad s \in [0, s_k]$$

and  $|r(s_k)| \rightarrow \infty$  as  $k \rightarrow \infty$ .

We substitute this sequence into (4.5), and obtain:

$$(4.6) \quad -\frac{1}{2} \left( |r(s_k)|^2 - |r(0)|^2 \right) = \\ f_1(0)(r_2(\xi_k) - r_2(0)) + f_1(s_k)(r_2(s_k) - r_2(\xi_k)) + \\ f_2(0)(r_4(\eta_k) - r_4(0)) + f_2(s_k)(r_4(s_k) - r_4(\eta_k)),$$

here  $\xi_k, \eta_k \in [0, s_k]$  and thus  $|r_2(\xi_k)| \leq |r(s_k)|$ ,  $|r_4(\eta_k)| \leq |r(s_k)|$ .

Due to the conditions of the Theorem and the choice of the sequence  $s_k$ , the right-hand side of formula (4.6) is  $O(|r(s_k)|)$  as  $k \rightarrow \infty$ . But the left-hand one is of order  $-|r(s_k)|^2/2$ . This gives a contradiction, and the Theorem is proved.

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